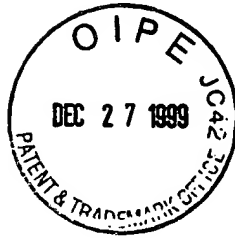




PEACOCK, MYERS & ADAMS, P.C.
INTELLECTUAL PROPERTY LAW SERVICES

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December 22, 1999

**IN THE UNITED STATES PATENT
AND TRADEMARK OFFICE**

1. Registered U.S. Patent and Trademark Office
Admitted New Mexico Bar
2. Admitted California and Washington Bars
3. Admitted Colorado Bar
4. Admitted Oklahoma Bar
5. Admitted Wisconsin Bar

Applicant(s): Waltherus J.W. Van Venrooij, et al. :
Serial No. 09/308,150 :
Filing Date: September 22, 1999 :
For: PEPTIDE DERIVED FROM AN ANTIGEN :

TO: Assistant Commissioner for Patents
Attn: Correspondence Division
Re: Correction of Official Filing Receipt

Please note the filing receipt contains a typographical error in that the non-signing inventor is not listed. A copy of the Declaration signed by the three signing inventors is attached. Correction to include the name of GERARDUS ANTONIUS SCHELLEKENS is requested. In addition, Statements Claiming Small Entity Status are being filed concurrently herewith (see attached copy) and it is requested that the new official filing receipt reflect "small entity status." Charge any additional fees, as required, or credit any overpayment to Deposit Account No. 13-4213.

Please do not hesitate to call the undersigned if you have any questions.

Very truly yours,

Jeffrey D. Myers, Reg. No. 35,964

Encls.

cc: B.S.F. Altenburg w/ encls.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on December 22, 1999.

Jeffrey D. Myers, Reg. No. 35,964

December 22, 1999
(Date)

[F:\ANNETTE\Los&Stigter-VanVen-2.PTO.doc] 30394-1027

U.S. and International

Patent searches and protection
Trademark searches and protection
Copyright searches and registrations
Intellectual property lawsuits
Licensing and contracts
Employment agreements
Confidentiality/Non-compete agreements
Computer law
Trade secrets
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FEB - 8 2000
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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/308,150	09/30/99	1614	\$1,230.00	30394-1027	0	17	1

005179

PEACOCK MYERS AND ADAMS P C

P O BOX 25927

ALBUQUERQUE NM 87125-6927

DEC 27 1999

RECEIVED

JAN 03 2000

TECH CENTER 1600/2900

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts of Application" ("Missing Parts Notice") in this application, please submit any corrections to this Filing Receipt with your reply to the "Missing Parts Notice." When the PTO processes the reply to the "Missing Parts Notice," the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

GERARDUS ANTONIUS SCHELLEKENS, Nijmegen
WALTHERUS JACOBUS W VAN VENROOIJ, NIJMEGEN, NETHERLANDS;
JOZEF MARIA HENDRIK RAATS, NIJMEGEN, NETHERLANDS;
RENE MICHAEL A HOET, NIJMEGEN, NETHERLANDS.

CONTINUING DATA AS CLAIMED BY APPLICANT-

THIS APPLN IS A 371 OF PCT/NL97/00624 11/14/97

SMALL ENTITY

IF REQUIRED, FOREIGN FILING LICENSE GRANTED 11/05/99

TITLE

PEPTIDE DERIVED FROM AN ANTIGEN RECOGNIZED BY AUTOANTIBODIES FROM PATIENTS WITH RHEUMATOID ARTHRITIS, ANTIBODY DIRECTED AGAINST SAID PEPTIDE, A COMBINATORIAL ANTIGEN, AND A METHOD OF DETECTING AUTO-IMMUNE ANTIBODIES

PRELIMINARY CLASS: 514

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NOV 1 1999

MPK
Peacock, Myers & Adams, PC

DATA ENTRY BY: NGUYEN, SON

TEAM: 11 DATE: 11/05/99

|||||

(See reverse for new important information)

09/308 150

Address: ASSISTANT COM:
Box PCT
Washington, D.C. 20540

FOR PATENTS

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/308,150	VAN VENROOIJ	30394-1027
INTERNATIONAL APPLICATION NO.		
PCT/NL97/00624		
I.A. FILING DATE	PRIORITY DATE	
11/14/97	11/15/96	

JEFFREY D MYERS
PEACOCK MYERS & ADAMS
POST OFFICES BOX 26927
ALBUQUERQUE NM 87125-6927

5611

DATE MAILED: 07/06/99

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as

- ☐ a Designated Office (37 CFR 1.494),
☒ an Elected Office (37 CFR 1.495):

- ☒ U.S. Basic National Fee.
☒ Copy of the international application in:
☐ a non-English language.
☒ English.

- ☐ Translation of the international application into English.
☐ Oath or Declaration of inventors(s) for DO/EO/US.
☐ Copy of Article 19 amendments.
☐ Translation of Article 19 amendments into English.
☒ The International Preliminary Examination Report in English and its Annexes, if any.
☐ Translation of Annexes to the International Preliminary Examination Report into English.
☒ Preliminary amendment(s) filed 13 May 1999 and _____.
☐ Information Disclosure Statement(s) filed _____ and _____.
☐ Assignment document.
☒ Power of Attorney and/or Change of Address.
☐ Substitute specification filed _____.
☐ Statement Claiming Small Entity Status.
☒ Priority Document.
☒ Copy of the International Search Report ☒ and copies of the references cited therein.
☐ Other:

2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- ☐ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
☐ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
☐ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
☒ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).

3. Additional claim fees of \$ _____ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

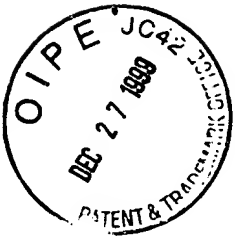
A copy of this notice MUST be returned with this response.

Enclosed: ☒ PCT/DO/EO/917 ☐ Notice of Defective Translation
☒ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703)-305-3744

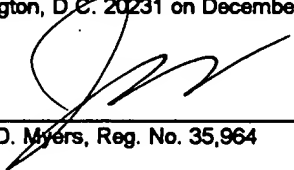
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Reply due 8.6.99
(1 mo.)

PATENT APPLICATION

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Jeffrey D. Myers, Reg. No. 35,964

Date: December 22, 1999

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Waltherus J.W. Van Venrooij, et al.

Examiner: UNKNOWN

Serial No. 09/308,150

Group Art Unit: 1614

Filed: September 30, 1999

For: PEPTIDE DERIVED FROM AN ANTIGEN

TRANSMITTAL OF STATEMENTS CLAIMING SMALL ENTITY STATUS

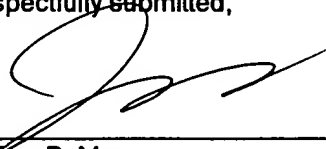
Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Attached please find Statements Claiming Small Entity Status for the assignees for filing in the above-identified patent application. Charge any required fees for this filing to Deposit Account No. 13-4213.

Please contact the undersigned if you have any questions.

Respectfully submitted,



Jeffrey D. Myers
Reg. No. 35,964

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Date: December 22, 1999